

04-08-00

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Please type a plus sign inside this box

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

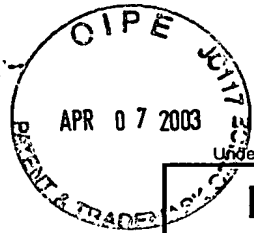
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/864,927	
	Filing Date	May 24, 2001	
	First Named Inventor	Lee E. Cannon	
	Group Art Unit	3713	
	Examiner Name	Not Yet Assigned	
Total Number of Pages in This Submission	1	Attorney Docket Number	29757/AG32-CIP

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Preliminary Amendment <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below)
<div>RECEIVED APR 16 2003 TECHNOLOGY CENTER R3700</div>		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual Name	MARSHALL, GERSTEIN & BORUN Jeremy R. Kriegel - 39,257
Signature	
Date	April 7, 2003

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 233431794 US, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.	
Dated: April 7, 2003	Signature: (Richard Zimmermann)



FEE TRANSMITTAL for FY 2003 <small>Patent fees are subject to annual revision.</small>		Complete if Known	
		Application Number	09/864927-Conf. #02324
		Filing Date	May 24, 2001
		First Named Inventor	Lee E. Cannon
		Examiner Name	Not Yet Assigned
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	Group Art Unit	3713	
TOTAL AMOUNT OF PAYMENT	(\$) 546.00	Attorney Docket No.	29757/AG32-CIP

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account

Deposit Account Number: 13-2855

Deposit Account Name: MARSHALL, GERSTEIN & BORUN

The Commissioner is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION				
1. BASIC FILING FEE				
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description
		1001	750	Utility filing fee
		1002	330	Design filing fee
		1003	520	Plant filing fee
		1004	750	Reissue filing fee
		1005	160	Provisional filing fee
SUBTOTAL (1)			(\$)	0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims: -20** = x =

Independent Claims: -3** = x =

Multiple Dependent: =

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description
		1202	18	Claims in excess of 20
		1201	84	Independent claims in excess of 3
		1203	280	Multiple dependent claim, if not paid
		1204	84	** Reissue independent claims over original patent
		1205	18	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)			(\$)	0.00

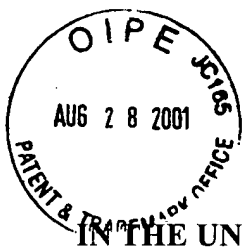
**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)				
3. ADDITIONAL FEES				
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description
1051	130	2051	65	Surcharge - late filing fee or oath
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet
1053	130	1053	130	Non-English specification
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
1251	110	2251	55	Extension for reply within first month
1252	410	2252	205	Extension for reply within second month
1253	930	2253	465	Extension for reply within third month
1254	1,450	2254	725	Extension for reply within fourth month
1255	1,970	2255	985	Extension for reply within fifth month
1401	320	2401	160	Notice of Appeal
1402	320	2402	160	Filing a brief in support of an appeal
1403	280	2403	140	Request for oral hearing
1451	1,510	1451	1,510	Petition to institute a public use proceeding
1452	110	2452	55	Petition to revive - unavoidable
1453	1,300	2453	650	Petition to revive - unintentional
1501	1,300	2501	650	Utility issue fee (or reissue)
1502	470	2502	235	Design issue fee
1503	630	2503	315	Plant issue fee
1460	130	1460	130	Petitions to the Commissioner
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)
1806	180	1806	180	Submission of Information Disclosure Stmt
8021	40	8021	40	Recording each patent assignment per property (times number of properties)
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))
1801	750	2801	375	Request for Continued Examination (RCE)
1802	900	1802	900	Request for expedited examination of a design application
Other fee (specify)		1614;	Claims - extra independent (over three);	
		1615	Claims - extra total (over 20)	546.00
*Reduced by Basic Filing Fee Paid			SUBTOTAL (3)	(\$)
				546.00

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Jeremy R. Kriegel	Registration No. (Attorney/Agent)	39,257
Signature	<i>Jeremy R. Kriegel</i>	Telephone	(312) 474-9575
		Date	April 7, 2003

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV233431794US, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: April 7, 2003 Signature: *Richard Zimmermann* (Richard Zimmermann)



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Cannon et al.

Serial No.: 09/864,927

Filed: May 24, 2001

For: METHOD AND APPARATUS FOR
GAMING MACHINES WITH A
TOURNAMENT PLAY BONUS FEATURE

Examiner: Unknown

Group Art Unit: 3713

Attorney Docket No.: 4624US
(300-003-CIP)

CERTIFICATE OF MAILING

I hereby certify that this paper or fee along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail (under 37 C.F.R. § 1.8(a)) on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

August 24, 2001
Date of Deposit

Signature of registered practitioner or other person having reasonable basis to expect mailing to occur on date of deposit shown pursuant to 37 C.F.R. § 1.8(a)(1)(ii)

Joseph A. Walkowski
Typed/printed name of person whose signature is contained above

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Attention: Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

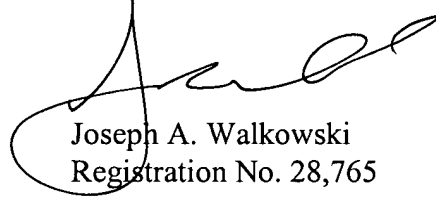
Enclosed is a copy of the Notice to File Missing Parts of Application, along with the following required items in connection with the above-referenced application: Declaration for Patent Application (With Power of Attorney) (2 total pages). This document is being filed within two (2) months from the mailing date of the Notice to File Missing Parts.

Also enclosed are Preliminary Amendment with Appendix A (containing paragraphs [0001] through [0091]), Appendix B (containing paragraphs [0001] through [0091]), Appendix C (containing currently pending claims 1 through 47), and Appendix D (containing amended claims 5, 7, 8, 10, 11, 15, 16, 19, 21-24, 26, 27, 31, 32, 38-43 and 47), Letter to the Chief Draftsman and attached revised figures with annotations in red (1 sheet, 1 figure); Transmittal of Formal

Drawings Prior to Notice of Allowance; Formal Drawings (4 sheets, 5 figures); Information Disclosure Statement with Form PTO-1449 and attached references.

A check in the amount of \$1,566.00 is enclosed in payment of all required fees. If the amount of the check is insufficient, please debit Deposit Account No. 20-1469 for the remainder of the fee. If the amount is in excess of that required, please credit that deposit account.

Respectfully submitted,



Joseph A. Walkowski
Registration No. 28,765
Attorney for Applicants
TRASKBRITT, PC
P. O. Box 2550
Salt Lake City, Utah 84110-2550
Telephone: (801) 532-1922

Date: August 24, 2001

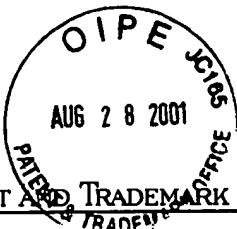
JAW/dlm

Enclosures: Copy of Notice To File Missing Parts of Application
Declaration for Patent Application (With Power of Attorney) (2 total pages)
Check No. 17189 in the amount of \$1,566.00
Preliminary Amendment with Appendix A, Appendix B, Appendix C, and
Appendix D,
Letter to the Chief Draftsman and attached revised figures with annotations in red
(1 sheet, 1 figure);
Transmittal of Formal Drawings Prior to Notice of Allowance (1 page); and
Formal Drawings (4 sheets, 5 figures)
Information Disclosure Statement (3 pages) with Form PTO-1449 (3 pages) and
attached references

N:\2727\4624\Response to NFMP.wpd

09864927-032801

#5



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/864,927	05/24/2001	Lee E. Cannon	4624US (300-006)

CONFIRMATION NO. 2424

24247
TRASK BRITT
P.O. BOX 2550
SALT LAKE CITY, UT 84110

FORMALITIES LETTER



OC000000006227672

Date Mailed: 06/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/30/2001 BNGUYEN1 00000008 09864927

01	710.00	OP	FILED UNDER 37 CFR 1.53(b)
02	486.00	OP	
03	240.00	OP	
04	130.00	OP	

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$726.
 - \$486 for 27 total claims over 20.
 - \$240 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1566.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09364927-082301



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Cannon et al.

Serial No.: 09/864,927

Filed: May 24, 2001

For: METHOD AND APPARATUS FOR
GAMING MACHINES WITH A
TOURNAMENT PLAY BONUS FEATURE

Examiner: Unknown

Group Art Unit: 3713

Attorney Docket No.: 4624US (300-003-
CIP)

CERTIFICATE OF MAILING

I hereby certify that this paper or fee along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail (under 37 C.F.R. § 1.8(a)) on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

August 24, 2001
Date of Deposit

Signature of registered practitioner or other person having reasonable basis to expect mailing to occur on date of deposit shown pursuant to 37 C.F.R. § 1.8(a)(1)(ii)

Joseph A. Walkowski
Typed/printed name of person whose signature is contained above

TRANSMITTAL OF FORMAL DRAWINGS PRIOR TO NOTICE OF ALLOWANCE

Commissioner for Patents
Washington, D.C. 20231

Sir:

Attached please find the formal drawings for this application.

Respectfully submitted,

Joseph A. Walkowski
Registration No. 28,765
Attorney for Applicants
TRASKBRITT, PC
P. O. Box 2550
Salt Lake City, Utah 84110-2550
Telephone: (801) 532-1922

Date: August 24, 2001
JAW/df/dlm

003230 2649860



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Cannon et al.

Serial No.: 09/864,927

Filed: May 24, 2001

For: METHOD AND APPARATUS FOR
GAMING MACHINES WITH A
TOURNAMENT PLAY BONUS FEATURE

Examiner: Unknown

Group Art Unit: 3713

Attorney Docket No.: 4624US (300-003-CIP)

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August 24, 2001
Date of Deposit

Signature of registered practitioner or other person
having reasonable basis to expect mailing to occur
on date of deposit shown pursuant to 37 C.F.R. §
1.8(a)(1)(ii)

Joseph A. Walkowski
Typed/printed name of person whose signature is
contained above

LETTER TO THE CHIEF DRAFTSMAN

Box No Fee Amendment
Commissioner for Patents
Washington, D.C. 20231

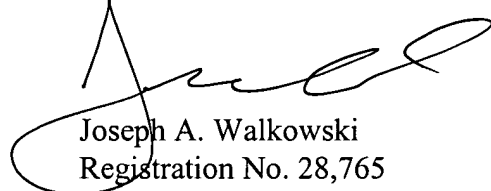
Sir:

Applicants submit herewith revised FIG. 1 which corrects an error in the drawings. Specifically, FIG. 1 has been revised to change the lead line/arrow for reference numeral 200 in the upper right hand corner of the drawing. Attached is a copy of the drawing with the proposed change marked in red.

Serial No.: 09/864,927

No new matter has been added. Approval of the proposed revision is respectfully requested.

Respectfully submitted,



Joseph A. Walkowski
Registration No. 28,765
Attorney for Applicants
TRASKBRITT, PC
P. O. Box 2550
Salt Lake City, Utah 84110-2550
Telephone: (801) 532-1922

Date: August 24, 2001

JAW/df/dlm

Enclosure: Drawing with change marked in red

09864927-082301